

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				Attorney Docket Number 5051-416dv		Serial No. To be assigned	
				Applicants: Boris I. Yakobson			
				Filing Date Concurrently herewith		Group	
U. S. PATENT DOCUMENTS							
Examiner Initial	AA	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
PL	AA	5,401,975	03/28/95	Ihara et al.	250	492.3	08/11/93
PL	AB	5,482,601	01/09/96	Ohshima et al.	204	173	01/13/95
PL	AC	5,591,312	01/07/97	Smalley	204	157.41	05/15/95
PL	AD	5,626,812	05/06/97	Ebbesen et al.	264	248	06/05/95
PL	AE	5,753,088	05/19/98	Olk	204	173	02/18/97
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
PL	CA	Charlier, J.-C., Ebbesen, T.W., and Lambin, P.; <i>Structural and electronic properties of pentagon-heptagon pair defects in carbon nanotubes</i> , Phys. Rev. B, 1996. 53(16): p. 11108-11113.					
PL	CB	Chico, L., et al.; <i>Pure Carbon Nanoscale Devices: Nanotube Heterojunctions</i> , Phys. Rev. Lett., 1996. 76(6): p. 971-974.					
PL	CC	Dunlap, B.I.; <i>Connecting carbon tubules</i> , Phys. Rev. B, 1992. 46(3): p. 1933-1936.					
PL	CD	Dresselhaus, M.S., Dresselhaus, G. and Eklund, P.C.; <i>Science of Fullerenes and Carbon Nanotubes</i> , 1996, San Diego: Academic Press p. 902-905.					
PL	CE	Heyd et al., <i>Uniaxial- Stress effects on the electronic properties of carbon nanotubes</i> , March 15, 1997, Physical Review B, Vol.55, Number 11 pp6820-6823.					
PL	CF	Stephan et al., <i>Curvature- induced bonding changed in carbon nanotubes investigated by electron energyloss spectrum</i> , May 15, 1996, Physical Review B, Vol. 53, No. 20, pp 13824-13829.					
PL	CG	Yakobson, B.I. and Smalley, R.E.; <i>Fullerene Nanotubes: C<sub>1,000,000</sub> and Beyond</i> , American Scientist, 1997. 85(4): p. 324-337.					
PL	CH	Yakobson, B.I.; <i>Mechanical relaxation and "intramolecular plasticity" in carbon nanotubes</i> , Appl.					

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16-16-02

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Phys. Lettl, 1998. 72(8): p. 918-920.	
PL	CI	Yakobson, B.I.; <i>Dynamic Topology and Yield Strength of Carbon Nanotubes</i> , Fullerenes, Electrochemical Society, 1997, Paris: ECS, Pennington., p. 549-560.	

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